

Audit and Standards Work Programme 2019-20- Working Copy

Date	Item	Author
25 July 2019	Report of those Charged with Governance (ISA 260)	(External Auditor) Ernst & Young
	Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Annual Internal Audit Opinion Report	Kayleigh Inman (Senior Finance Manager)
	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Annual Governance Statement	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
17 October 2019	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Annual Audit Letter 2018/19	(External Auditor) Ernst & Young
	Annual Ombudsman Report	Ben Marston (Service Delivery Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
19 December 2019	(Additional meeting if required)	
23 January 2020	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Review of Member's Code of Conduct	Gillian Duckworth (Director of Legal and Governance)

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	Review of Standards Complaints Procedure	Gillian Duckworth (Director of Legal and Governance)
	Annual Standards report	Gillian Duckworth (Director of Legal and Governance)
	Universal Credit	Tim Hardie (Director of Finance and Commercial Services)
	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
20 February 2020	(Additional meeting if required)	
19 March 2020	(Additional meeting if required)	
16 April 2020	Internal Audit Plan 2019/20	Kayleigh Inman (Senior Finance Manager)
	Compliance with International Auditing Standards	Dave Phillips (Head of Strategic Finance)
	Certification of Claims and Returns Annual Report 2017/18	External Auditor (EY)
	External Audit Plan 2019/20	External Auditor (EY)
	Annual Audit Fee Letter 2019/20	External Auditor (EY)
	Whistleblowing Policy Review and Update	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
June/July 2020	Audit Training	External Facilitator (Gary Bandy)

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11 June 2020	Summary of Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Internal Audit Annual Fraud Report	Kayleigh Inman (Senior Finance Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
23 July 2020	Report to Those Charged with Governance (ISA 260)	External Auditor (EY)
	Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Annual Governance Statement	Gillian Duckworth (Director of Legal and Governance)
	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Update on Standards Complaints	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)

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