

Audit and Standards Work Programme 2021-22

Date	Item	Author
29 July 2021	Summary of Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	External Audit Plan 2020-21	Ernst and Young (External Auditors)
	Annual Audit Letter 2019-20	Ernst and Young (External Auditor)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
23 September 2021	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Annual Internal Audit Opinion Report	Linda Hunter (Senior Finance Manager)
	Annual Governance Statement	Gillian Duckworth (Director of Legal & Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
21 October 2021	Final Accounts Audit Progress	Ernst and Young (External Auditor)
	Progress on High Opinion Audit Reports	Linda Hunter (Senior Finance Manager)
	Annual Ombudsman Report	Corleen Bygraves-Paul (Service Delivery Manager)
	Information Management Annual Report	Catherine Hodgkinson (Senior Information Management Officer)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
9 December 2021	Statement of Accounts 20/21	Dave Phillips (Head of Strategic Finance)

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	Education Healthcare Plan Update	Andrew Jones (Director of Education and Skills)
	Report of those Charged with Governance (ISA 260)	(External Auditor) Ernst & Young
	Whistleblowing Policy Review	Claire Corneile (Head of HR)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
20 January 2022	Formal Response to Audit (ISA 260) Recommendations	Dave Phillips (Head of Strategic Finance)
	Annual Audit Letter 2020/21	Ernst and Young (External Auditor)
	Review of Members' Code of Conduct	Gillian Duckworth (Director of Legal and Governance)
	Review of Standards Complaints Procedure	Gillian Duckworth (Director of Legal and Governance)
	Annual Standards Report	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
24 February 2022	Strategic Risk Reporting	Helen Molteno (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
24 March 2022	(Additional meeting if required)	
28 April 2022	Internal Audit Plan 2022/23	Linda Hunter (Senior Finance Manager)
	Progress in High Opinion Reports	Linda Hunter (Senior Finance Manager)

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	Compliance with International Auditing Standards	Dave Phillips (Head of Strategic Finance)
	Certification of Claims and Returns Annual Report 2020/21	External Auditor (EY)
	External Audit Plan 2021/22	External Auditor (EY)
	Annual Audit Fee Letter 2021/22	External Auditor (EY)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
June 2022	Audit Training	External Facilitator (Gary Bandy)
16 June 2022	Summary of Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Internal Audit Annual Fraud Report	Linda Hunter (Senior Finance Manager)
	Annual Ombudsman Report	Corleen Bygraves-Paul (Service Delivery Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
21 July 2022	Work Programme	Gillian Duckworth (Director of Legal and Governance)

IMPORTANT INFORMATION FOR REPORT WRITERS

The Audit and Standards Committee provides an independent and high-level focus on the audit, assurance and reporting arrangements that underpin good governance and financial standards.

The purpose of the Committee is to provide independent assurance to the Council of the adequacy of the risk management framework and the internal control environment. It provides independent review of Sheffield City Council's governance, risk management and control frameworks and oversees the financial

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reporting and annual governance processes. It oversees internal audit and external audit, helping to ensure efficient and effective assurance arrangements are in place.

The Committee also cover Standards and is primarily responsible for promoting and maintaining high standards of conduct by councillors, independent members,

and co-opted members. It is responsible for advising and arranging relevant training for members relating to the requirements of the code of

conduct for councillors. The Committee also monitor the Council's complaints process and the Council's response to complaints to the Ombudsman.

Most Audit and Standards papers are public documents, so use everyday language, and use plain English, don't use acronyms, or jargon and explain any technical terms. Assume the reader knows little about your subject.

Think about how the paper will be interpreted by those who read it including the media.

Use standard format - don't subvert it.

Ensure – You convey the key message in the first paragraph not the last.

The report should include –

- **Summary**
- **Recommendation (s)**
- **Introduction**
- **Background**
- **Main body of the report (in. legal, financial and all other relevant implications)**

The Committee is not an operational committee, so is not focussed on the day to day running of your service. However, its focus is on risk management and governance, so it will want to understand how you manage your key risks, and how you are responding to new challenges and developments. In particular the Committee will be interested in the progress on implementing agreed recommendations from inspection and audit reports, and will want to review your services' outputs and actions in response. You can expect some challenge if deadlines for implementing agreed actions have been missed. Please ensure breakdowns of information are included in your report, as the Committee is interested in the key facts and figures behind areas.

(report templates are available from Democratic Services)