



## Audit and Standards Committee Report

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**Report of:** Senior Finance Manager, Internal Audit

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**Date:** 20<sup>th</sup> January 2022

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**Subject:** Progress on No Assurance/Limited Assurance (High organisational impact) Opinion Audit Reports

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**Author of Report:** Linda Hunter, Senior Finance Manager, Internal Audit

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**Summary:** The attached is the report of the Senior Finance Manager, Internal Audit providing an updated position on implementation of recommendations contained in audit reports issued with a high opinion or a limited/no assurance opinion and high organisational impact assessment.

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**Recommendations:**

Members are asked to:

To note the contents of the report.

That the Audit and Standards Committee agrees to the removal of the following reports from the tracker:

- Enforcement Agent Review
- Appointeeship Service
- Council Processes for Management Investigations
- Controls in Town Hall Machine room
- Data Security and Protection (DSP) Toolkit

**Background Papers:**

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**Category of Report:** Open

\* Delete as appropriate

If closed, the report/appendix is not for publication because it contains exempt information under Paragraph (insert relevant paragraph number) of Schedule 12A of the Local Government Act 1972 (as amended).'

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## Statutory and Council Policy Checklist

<b>Financial implications</b>
<del>YES</del> /NO      Cleared by: Linda Hunter
<b>Legal implications</b>
<del>YES</del> /NO
<b>Equality of Opportunity implications</b>
<del>YES</del> /NO
<b>Tackling Health Inequalities implications</b>
<del>YES</del> /NO
<b>Human rights implications</b>
<del>YES</del> /NO
<b>Environmental and Sustainability implications</b>
<del>YES</del> /NO
<b>Economic impact</b>
<del>YES</del> /NO
<b>Community safety implications</b>
<del>YES</del> /NO
<b>Human resources implications</b>
<del>YES</del> /NO
<b>Property implications</b>
<del>YES</del> /NO
<b>Area(s) affected</b>
<b>Relevant Scrutiny Committee if decision called in</b>
Not applicable
<b>Is the item a matter which is reserved for approval by the City Council? <del>YES</del>/NO</b>
<b>Press release</b>
<del>YES</del> /NO